北京大学国际关系学院硕博研究生课程大纲

比较历史分析

Comparative Historical Analysis

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课程简介

比较历史分析是当代政治科学与历史社会学经验研究的主要方法之一。在量化统计研究方法和数理建模大行其道的今天，比较历史分析仍在提炼概念、发展理论、检验研究假设等方面有重大创新价值。尤其自 20 世纪 80 年代以来，随着制度主义研究范式在社会科学各领域的"复兴"，以处理宏观社会变迁、中观制度演进见长的比较历史分析方法得到政治学、社会学研究者的广泛关注与细致讨论，更加体系化、精致化。比较历史分析不仅包括个案间（cross-cases）横向比较研究，也涵盖个案内（within-case）纵向历史比较，较之于量化分析更有助于澄清因果机制链条，使理论解释更加完备深入。本课程将以教师讲授和课堂研讨相结合的方式，在学员已经具备一定社会科学方法论知识的基础上，深入理解比较历史分析研究方法的一般操作流程、理论建构优势以及潜在的困难和陷阱。在完成经典文献阅读和分析讨论的基础上，教师与学员一起完善研究设计，学员应在学期结束时提交运用比较历史分析方法完成的一篇研究论文。

课程安排

第一部分：本课程前六周围绕 Lange 2013 与 Mahoney and Thelen 2015 这两本主要教材的内容以及补充阅读文献进行课堂讲授与讨论，使学员在巩固既有方法论知识的基础上，加深对定性研究设计的理解，熟悉比较历史分析的运用思路，明确比较方法和个案研究的优势、陷阱及主要注意事项。每周围绕一个主题展开讨论，分别是：

一、社会科学中的比较历史分析传统

二、个案间横向比较与个案内历史比较

三、历史与社会处境描述

四、比较历史分析中的时间问题

五、路径依赖

六、过程追踪

第二部分：每位学员在前期研习与自主选题的基础上，确定课程论文选题及初步研究计划，以 2 周时间对选题进行初步讨论和细化，完成研究设计初稿。

第三部分：选择运用比较历史分析的 6 部研究著作进行阅读分析，拆解其研究运思和研究特色。要求每位学员在比较政治和国别研究领域最低限度完成 1-2 部著作的速读，并写作简短的阅读评论。依照每周 1 部著作的进度，在 6 周时间完成。

七、比较政治中的比较历史分析（3 部原著研讨，每周 1 部）

八、国别研究中的比较历史分析（3 部原著研讨，每周 1 部）

第四部分：课程最后 3 周回到学员自己的研究进展汇报和课程论文讨论上，通过更为细致的讨论与互评，基本完成课程论文的初稿写作。最后 1 周课堂上提交大体成型的论文终稿并做课堂报告，在课程结束后希望能够进一步修改完善到可发表的水平。具体的讨论进度依照选课人数来确定操作细节

课程主要读物

· Lange, Matthew. 2013. *Comparative-Historical Methods*. Los Angeles: SAGE.

· Mahoney, James, and Kathleen Ann Thelen. 2015. *Advances in Comparative-Historical Analysis*. New York: Cambridge University Press.

成绩评定 课堂出勤（10%），研讨参与（30%），期末论文（70%）。

每周补充阅读文献

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3.1 Lijphart, Arend. 1971. "Comparative Politics and the Comparative Method." *The American Political Science Review* 65(3):682-693. *

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5.1 Mahoney, James. 2000. "Path Dependence in Historical Sociology." *Theory and Society* 29(4): 507-548.

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6.2 Collier, David. 2011. "Understanding Process Tracing." *PS: Political Science and Politics* 44(3): 823-830.

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备注：作为课程阅读对象的研究专著将在第 1-2 周由师生共同议定最终书目，原则上以比较历史分析研究专著如《专制与民主的社会起源》、《国家与社会革命》、《使民主运转起来》、《利维坦的诞生》等为阅读对象。