

# 政治发展研究生课程教学大纲

北京大学国际关系学院

2022-2023 学年第一学期（秋季）

课号类别：02419649

学 分：3

上课时间：周二（15:10 - 18:00 pm）

上课地点：地学楼 207

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## 课程概览

政治发展是当代比较政治学的核心内容之一，这门博士生硕士生的讨论课将围绕政治发展的主要历史经验和理论总结，在熟悉相关文献的基础上对当今世界（包括西方世界与非西方世界）的政治发展现状和走向进行深入研讨，并有选择地对世界不同国家和地区进行有侧重的研究。

## 教学方式和成绩评定

除第一次和最后一次课外，本课程将围绕各章重点文献进行讨论交流。每次课上将对讲涉及的三篇文献作出重点讨论，并要求修课的同学在课前就三篇文献内容写出简短的阅读备忘录（Memo），**至迟不晚于每周一中午 12:00 电邮发送至任课教师电子邮箱，同时分享在课程微信群（文件名：姓名+memo#）。**课堂讨论将围绕研讨主题和相关文献展开，尤其是将个人阅读备忘录中提炼总结的问题进行深入研讨。

要求本学期**每位学员提供不少于 10 篇阅读备忘录**，并且以每篇 5 分为满分记录成绩，即阅读备忘录将占个人最终总评成绩的 50%。**博士生同学必须在每讲所列的参考书目之中，选择 2 本作精读，在课上作 20 分钟的报告并提交 2 篇书评。**

从第九周（11 月 7 日）开始，每次课还安排 2 位学员介绍自己的期末研究设计构想，与任课教师和同学进行讨论交流，并于最后一次课（12 月 26 日）作初步研究陈述，**1 月 15 日前提交期末研究论文终稿。**期末论文占个人总评成绩 50%。

阅读备忘录和期末研究论文格式要求：**正文宋体小四，指定行网格每页 30 行，**

阅读备忘录只提交电子版，A4 一页左右即可；期末研究论文需同时提供电子版和打印稿，篇幅请控制在 1 - 1.5 万字。引文注释体例按照《国际政治研究》要求。

## 课程内容

周次	日期	课程内容
第 1 周	09-12	政治发展的基本问题与研究方法
第 2 周	09-19	政治发展议题的研究缘起
第 3 周	09-26	西方社会变革与政治发展的一般经验
第 4 周	10-03	国庆假期
第 5 周	10-10	现代化理论与对现代化范式的批判
第 6 周	10-17	依附论与不发达的政治根源
第 7 周	10-24	发展型国家与发展主义
第 8 周	10-31	市场转型与民主化范式的兴起
第 9 周	11-07	政治发展与法治
第 10 周	11-14	政治发展的社会条件
第 11 周	11-21	政治发展的文化背景
第 12 周	11-28	政治发展与路径依赖
第 13 周	12-05	政治发展与对抗政治
第 14 周	12-12	政治发展过程中的精英与民众
第 15 周	12-19	政治发展与政治衰败
第 16 周	12-26	研究陈述

## 参考教材与每周阅读文献

- Landman, Todd. 2017. *Issues and Methods in Comparative Politics: An Introduction*. 4th ed. London; New York: Routledge. （读第四版中译本即可）
- Kingsbury, Damien. 2007. *Political Development*. London; New York: Routledge.
- Smith, B. C. 2013. *Understanding Third World Politics: Theories of Political Change and Development*. 4th ed. Houndmills, Basingstoke, Hampshire: Palgrave Macmillan.
- 燕继荣，2010，《发展政治学》（第二版），北京：北京大学出版社。

- 陈鸿瑜，2009，《政治发展理论》，长春：吉林出版集团。

备注：参考教材本身并非每讲的必读文献，除了要求阅读的部分外，任课教师希望选课学员可以对三本英文教材的内容通读一遍。阅读文献可见 Reading Package。

## 一、政治发展的基本问题与研究方法

1.1 Landman 2008. Chapter 1 and 2.

1.2 Kingsbury 2007. Chapter 1.

1.3 Clemens and Cook 1999. “Politics and Institutionalism Explaining Durability and Change”

\* Tilly 2001. “Mechanisms in Political Processes”

\* Olson 1993. “Dictatorship, Democracy, and Development”

\* 王绍光，2000，“‘接轨’还是‘拿来’：政治学本土化的思考”

- Moore, Barrington. 1966. *Social Origins of Dictatorship and Democracy: Lord and Peasant in the Making of the Modern World*. Boston: Beacon Press. 本书有上海译文中译本。

- Migdal, Joel S. 1988. *Strong Societies and Weak States: State-Society Relations and State Capabilities in the Third World*. Princeton, N.J.: Princeton University Press. 本书有江苏人民出版社中译本。

- Fukuyama, Francis. 2004. *State-building: Governance and World Order in the 21st Century*. Ithaca, N.Y.: Cornell University Press. 本书有中国社会科学出版社中译本。

- Acemoglu, Daron, and James A. Robinson. 2006. *Economic Origins of Dictatorship and Democracy*. Cambridge; New York: Cambridge University Press. 本书有上海财大出版社中译本。

- Acemoglu, Daron, and James A. Robinson. 2012. *Why Nations Fail: The Origins of Power, Prosperity and Poverty*. New York: Crown Publishers. 本书有湖南科技出版社中译本。

## 二、政治发展议题的研究缘起

2.1 Dodd 1972. *Political Development*.

2.2 Pye 1965. “The Concept of Political Development”

2.3 Almond 1965. “A Developmental Approach to Political Systems”

\* Almond 1956. “Comparative Political Systems”

- Almond, Gabriel A., and James Smoot Coleman. 1960. *The Politics of the Developing Areas*. Princeton, N.J.: Princeton University Press. 本书有上海人民出版社中译本。
- Almond, Gabriel A., and G. Bingham Powell. 1966. *Comparative Politics: A Developmental Approach*. Boston: Little Brown.

### 三、西方社会变革与政治发展的一般经验

3.1 Merkel 1977. “The Study of European Political Development”

3.2 Tilly 1973. “Does Modernization Breed Revolution”

3.3 Huntington 1966. “Political Modernization America vs Europe”

\* Kestnbaum and Skocpol 1993. “War and the Development of Modern National States”

- Tilly, Charles. 1992. *Coercion, Capital, and European States, AD 990-1992*. Rev. pbk. ed. Cambridge, MA: Blackwell. 中译本不可读
- Almond, Gabriel A., Scott C. Flanagan, and Robert J. Mundt. 1973. *Crisis, Choice, and Change: Historical Studies of Political Development*. Boston: Little Brown.

### 四、现代化理论与对现代化范式的批判

4.1 Huntington 1971. “The Change to Change: Modernization, Development, and Politics”

4.2 Przeworski and Limongi 1997. “Modernization: Theories and Facts”

4.3 Smith 2003. Chapter 3.

\* Ruttan 1991. “What Happened to Political Development?”

- Apter, David E. 1965. *The Politics of Modernization*. Chicago: University of Chicago Press. 本书有上海人民出版社和中央编译出版社两个不同的中译本。
- Huntington, Samuel P. 1968. *Political Order in Changing Societies*. New Haven: Yale University Press. 本书有三个中译本，上海译文出版社张岱云等译本为佳。

### 五、依附论与不发达的政治根源

5.1 Smith 2003. Chapter 2 and 4.

5.2 Caporaso 1980. “Dependency Theory: Continuities and Discontinuities in Development Studies”

5.3 Barrett and Whyte 1982. “Dependency Theory and Taiwan: Analysis of a Deviant Case”

- Gerschenkron, Alexander. 1962. *Economic Backwardness in Historical Perspective, a Book of Essays*. Cambridge, MA: Harvard University Press. 本书有商务印书馆中译本。
- Cardoso, Fernando Enrique, and Enzo Faletto. 1979. *Dependency and Development in Latin America*. Berkeley, CA: University of California Press.

## 六、发展型国家与发展主义

6.1 Caldentey 2008. “The Concept and Evolution of the Developmental State”

6.2 Engerman and Sokoloff 2008. “Debating the Role of Institutions in Political and Economic Development”

6.3 Wu 2004. “Rethinking the Taiwanese Developmental State”

\* Onis 1991. “The Logic of the Developmental State”

- Johnson, Chalmers. 1982. *MITI and the Japanese Miracle: The Growth of Industrial Policy, 1925-1975*. Stanford, Calif.: Stanford University Press. 本书有吉林出版集团中译本。

## 七、市场转型与民主化范式的兴起

7.1 Landman 2008. Chapter 6 and 9.

7.2 Kingsbury 2007. Chapter 6 and 7.

7.3 Bunce 2001. “Democratization and Economic Reform”

\* Bunce 2000. “Comparative Democratization: Big and bounded generalizations”

\* Rustow 1970. “Transitions to Democracy”

\* Yang 2006. “Economic Transformation and Its Political Discontents in China”

- Przeworski, Adam. 1991. *Democracy and the Market: Political and Economic Reforms in Eastern Europe and Latin America*. Cambridge; New York: Cambridge University Press.
- Przeworski, Adam. 2000. *Democracy and Development: Political Institutions and Well-being in the World, 1950-1990*. Cambridge; New York: Cambridge University Press.

- Huntington, Samuel P. 1991. *The Third Wave: Democratization in the Late Twentieth Century*. Norman: University of Oklahoma Press. 本书有人民出版社中译本。
- O'Donnell, Guillermo A., and Philippe C. Schmitter. 1986. *Transitions from Authoritarian Rule. Tentative Conclusions about Uncertain Democracies*. Baltimore: Johns Hopkins University Press. 本书有新星出版社中译本。

## 八、政治发展与法治

- 8.1 Lijphart 1990. “The Political Consequences of Electoral Laws, 1945-85”
- 8.2 Helmke and Rosenbluth 2009. “Regimes and the Rule of Law: Judicial Independence in Comparative Perspective”
- 8.3 Larkins 1996. “Judicial Independence and Democratization: A Theoretical and Conceptual Analysis”
- \* Graber 2005. “Constructing Judicial Review”
- Przeworski, Adam, and José María Maravall. 2003. *Democracy and the Rule of Law*. Cambridge; New York: Cambridge University Press.

## 九、政治发展的社会条件

- 9.1 Deutsch 1961. “Social Mobilization and Political Development”
- 9.2 Lipset 1959. “Some Social Requisites of Democracy”
- 9.3 Zuckerman 1975. “Political Cleavage: A Conceptual and Theoretical Analysis”
- \* Lipset 1994. “The Social Requisites of Democracy Revisited”
- \* Hall and Lamont 2013. “Why Social Relations Matter for Politics and Successful Societies”
- \* Hechter and Okamoto 2001. “Political Consequences of Minority Group Formation”
- Lipset, Seymour Martin. 1960. *Political Man: The Social Bases of Politics*. Garden City, N.Y.; Doubleday. 本书有上海人民出版社中译本。
- Migdal, Joel S. 2001. *State in Society: Studying How States and Societies Transform and Constitute One Another*. Cambridge; New York: Cambridge University Press. 本书有江苏人民出版社中译本。

## 十、政治发展的文化背景

10.1 Inglehart 1971. “The Silent Revolution in Europe”

10.2 Eckstein 1988. “A Culturalist Theory of Political Change”

10.3 Bell 2017. “Comparing Political Values in China and the West”

\* Inglehart 1988. “The Renaissance of Political Culture”

\* Werlin and Eckstein 1990. “Political Culture and Political Change”

\* Collier 2017. “Culture, Politics, and Economic Development”

- Almond, Gabriel A., and Sidney Verba. 1963. *The Civic Culture: Political Attitudes and Democracy in Five Nations*. Princeton, N.J.: Princeton University Press. 本书有东方出版社和商务印书馆等四个中译本。
- Inglehart, Ronald. 1997. *Modernization and Postmodernization: Cultural, Economic, and Political Change in 43 Societies*. Princeton, N.J.: Princeton University Press. 本书有社会科学文献出版社中译本。

## 十一、政治发展与路径依赖

11.1 Pierson 2000. “Increasing Returns, Path Dependence, and the Study of Politics”

11.2 Peters, Pierre and King 2005. “The Politics of Path Dependency: Political Conflict in Historical Institutionalism”

11.3 Djelic and Quack 2007. “Overcoming Path Dependency: Path Generation in Open Systems”

\* Kreuzer 2009. “How Party Systems Form: Path Dependency and the Institutionalization of the Post-War German Party System”

- Pierson, Paul. 2004. *Politics in Time: History, Institutions, and Social Analysis*. Princeton, NJ: Princeton University Press. 本书有江苏人民出版社中译本。

## 十二、政治发展与对抗政治

12.1 Kitschelt 1992. “Political Regime Change: Structure and Process-Driven Explanations”

12.2 Smith 2003. Chapter 10.

12.3 Landman 2008. Chapter 7 and 8.

\* Cai 2008. “Power Structure and Regime Resilience: Contentious Politics in China”

\* Goldstone 2001. “Toward a Fourth Generation of Revolutionary Theory”

- Tilly, Charles, and Sidney G. Tarrow. 2015. *Contentious Politics*. Second rev. ed. New York: Oxford University Press.
- Tarrow, Sidney G. 2011. *Power in Movement: Social Movements and Contentious Politics*. Rev. & updated 3rd ed. Cambridge; New York: Cambridge University Press.
- O'Brien, Kevin J., and Lianjiang Li. 2006. *Rightful Resistance in Rural China*. Cambridge; New York: Cambridge University Press.

### 十三、政治发展过程中的精英与民众

13.1 Wickham-Crowley 1994. “Elites, Elite Settlements, and Revolutionary Movements in Latin America 1950-1980”

13.2 Weyland 2001. “Clarifying a Contested Concept: Populism in the Study of Latin American Politics”

13.3 Jansen 2011. “Populist Mobilization: A New Theoretical Approach to Populism”

\* Higley and Burton 1989. “The Elite Variable in Democratic Transitions and Breakdowns”

### 十四、政治发展与政治衰败

14.1 Huntington 1965. “Political Development and Political Decay”

14.2 Holmberg, Rothstein and Nasiritousi 2009. “Quality of Government: What You Get”

14.3 Sanchez-Cuenca 2017. “From a Deficit of Democracy to a Technocratic Order”

\* Lee 2015. “How Party Polarization Affects Governance”

\* Fishman 2016. “Rethinking Dimensions of Democracy for Empirical Analysis”

\* Hale 2017. “Regime Change Cascades”

- Fukuyama, Francis. 2014. *Political Order and Political Decay: From the Industrial Revolution to the Globalization of Democracy*. New York: Farrar, Straus and Giroux.